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Published Version

Birch, C. E., Roberts, M. J., Garcia-Carreras, L., Ackerley, D., Reeder, M. J., Lock, A. P. and Schiemann, R. (2015) Sea-breeze dynamics and convection initiation: the influence of convective parameterization in weather and climate model biases. *Journal of Climate*, 28 (20). pp. 8093-8108. ISSN 1520-0442 doi: <https://doi.org/10.1175/JCLI-D-14-00850.1> Available at <https://centaur.reading.ac.uk/46016/>

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To link to this article DOI: <http://dx.doi.org/10.1175/JCLI-D-14-00850.1>

Publisher: American Meteorological Society

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## Sea-Breeze Dynamics and Convection Initiation: The Influence of Convective Parameterization in Weather and Climate Model Biases

CATHRYN E. BIRCH,<sup>\*</sup> MALCOLM J. ROBERTS,<sup>+</sup> LUIS GARCIA-CARRERAS,<sup>#</sup> DUNCAN ACKERLEY,<sup>®,&</sup>  
MICHAEL J. REEDER,<sup>®,&</sup> ADRIAN P. LOCK,<sup>+</sup> AND REINHARD SCHIEMANN<sup>\*\*</sup>

<sup>\*</sup> *Met Office@Leeds, University of Leeds, Leeds, United Kingdom*

<sup>+</sup> *Met Office, Exeter, United Kingdom*

<sup>#</sup> *School of Earth and Environment, University of Leeds, Leeds, United Kingdom*

<sup>®</sup> *School of Earth, Atmosphere and Environment, Monash University, Clayton, Victoria, Australia*

<sup>&</sup> *Centre of Excellence for Climate System Science, Monash University, Clayton, Victoria, Australia*

<sup>\*\*</sup> *National Centre for Atmospheric Science, Department of Meteorology, University of Reading, Reading, United Kingdom*

(Manuscript received 15 December 2014, in final form 15 June 2015)

### ABSTRACT

There are some long-established biases in atmospheric models that originate from the representation of tropical convection. Previously, it has been difficult to separate cause and effect because errors are often the result of a number of interacting biases. Recently, researchers have gained the ability to run multiyear global climate model simulations with grid spacings small enough to switch the convective parameterization off, which permits the convection to develop explicitly. There are clear improvements to the initiation of convective storms and the diurnal cycle of rainfall in the convection-permitting simulations, which enables a new process-study approach to model bias identification. In this study, multiyear global atmosphere-only climate simulations with and without convective parameterization are undertaken with the Met Office Unified Model and are analyzed over the Maritime Continent region, where convergence from sea-breeze circulations is key for convection initiation. The analysis shows that, although the simulation with parameterized convection is able to reproduce the key rain-forming sea-breeze circulation, the parameterization is not able to respond realistically to the circulation. A feedback of errors also occurs: the convective parameterization causes rain to fall in the early morning, which cools and wets the boundary layer, reducing the land–sea temperature contrast and weakening the sea breeze. This is, however, an effect of the convective bias, rather than a cause of it. Improvements to how and when convection schemes trigger convection will improve both the timing and location of tropical rainfall and representation of sea-breeze circulations.

### 1. Introduction

The representation of tropical convection is one of the major challenges in atmospheric science (Stephens et al. 2010) and one of the key uncertainties in future climate simulations (Rybka and Tost 2014). One major aspect of this challenge is the representation of the diurnal cycle of convection and rainfall in numerical models. Over many tropical regions there is a distinct diurnal cycle in precipitation: over land the peak is generally in the evening and the minimum during the morning, and over the ocean

the peak generally occurs in the early morning (Yang and Slingo 2001). Models that employ parameterized convection generally produce rainfall that occurs too frequently, with an intensity that is too low (Sun et al. 2006; Stephens et al. 2010) and a diurnal cycle that peaks too early in the day (Dai 2006). Errors in the diurnal cycle affect heating rates, which feeds back on the large-scale circulation and other aspects of the model, such as the water budget (Marshall et al. 2013; Birch et al. 2014b).

The Maritime Continent consists of an archipelago of islands in the Pacific Ocean and the northern part of Australia (Fig. 1). The region has some of the highest sea surface temperatures (SSTs) and rainfall rates on Earth (Ramage 1968), and the Rossby wave response to the latent heating produced by convection has a strong influence in remote regions (Neale and Slingo 2003); thus,

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*Corresponding author address:* Cathryn E. Birch, School of Earth and Environment, University of Leeds, Woodhouse Lane, Leeds LS2 9JT, United Kingdom.  
E-mail: cathryn.birch@metoffice.gov.uk

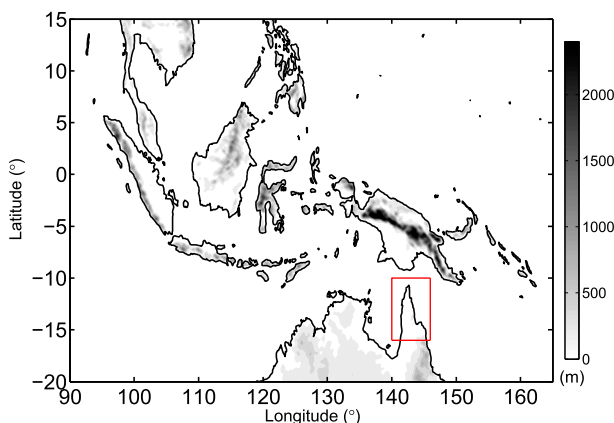


FIG. 1. The Maritime Continent region as defined for the analysis. Gray shading represents orography height and the red box marks the subdomain over the Cape York Peninsula.

it is imperative to represent the region accurately in models. Sea-breeze dynamics and their interaction with the high topography are key rain-forming processes within the region. Differential daytime heating of the land and ocean causes sea-breeze circulations to develop (Gille et al. 2005; Spengler and Smith 2008), which, coupled with upslope winds over the mountain regions, create convergence zones inland that cause convection (and thus precipitation) to peak in the evening (Saito et al. 2001; Qian 2008). After sunset, downslope mountain winds develop as the land cools more rapidly than the ocean, reversing the sea breeze to form an offshore land breeze. This flow reversal, coupled to convectively generated gravity waves, advects the convection offshore, producing a peak in precipitation over the ocean in the morning (Mori et al. 2004; Love et al. 2011; Wapler and Lane 2012).

The representation of rainfall and the atmospheric circulation over the Maritime Continent is particularly poor in GCMs, and errors are apparent in both coupled and atmosphere-only climate model simulations (Flato et al. 2013; Grose et al. 2014). In coupled models, including the Met Office Unified Model (MetUM), precipitation rates tend to be overestimated over the Maritime Continent, primarily as a result of a cold tongue SST bias in the Pacific (Irving et al. 2011). Conversely, a precipitation bias of the opposite sign occurs in atmosphere-only simulations of the MetUM where the SSTs are prescribed. Martin et al. (2006) show that this bias develops in the first few days of a simulation and is therefore unlikely to be caused by feedbacks originating from remote model biases, but is likely because of an inadequate representation of convection. In addition, Qian (2008) suggests that model systematic errors are caused by the coarse representation of coastlines and mountains, which lead to weakened, or

missing, precipitation-forming mechanisms such as sea breezes and mountain winds.

Computing power is increasing all the time and so is our ability to run high-resolution simulations. The U.K. consortium Cascade project demonstrated that running limited-area models with grid spacings of 12–1.5 km, where the convective parameterization is switched off and convection is allowed to develop explicitly, can bring significant improvements to the initiation, propagation, and diurnal cycle of convection (Pearson et al. 2014; Marsham et al. 2013; Birch et al. 2014b). Comparisons with a simulation at an identical resolution but with the convective parameterization switched on show that the improvements are a result of the change in the representation of convection, rather than the change in resolution. Sato et al. (2009) analyze model precipitation from a global cloud-resolving model simulation with grid spacings of 14, 7, and 3.5 km and find that the diurnal cycle in the 7- and 3.5-km simulations agrees well with satellite observations over land areas, although the diurnal peak in the 14-km simulation is about 3 h later than in reality and the mean rainfall in all three simulations can often be negatively impacted (Birch et al. 2014b).

This study uses state-of-the-art, high-resolution multiyear MetUM global climate simulations with various representations of convection to understand the contribution of convective parameterization to biases in the diurnal cycle of precipitation in the Maritime Continent region and how errors in the diurnal cycle influence related aspects of the circulation, such as the sea breeze. First, the ability of the model to reproduce the diurnal cycle of precipitation over the global tropics is assessed (section 3). Second, the Cape York Peninsula in northeastern Australia (marked by the red box in Fig. 1) is used as a case study to understand the impact of convective parameterization on sea-breeze dynamics and convection initiation (section 4). Third, the hypotheses suggested from the Cape York Peninsula analysis are tested over the entire Maritime Continent region (section 5).

## 2. Model simulations and observations

The MetUM was run using an atmosphere–land configuration named Global Atmosphere/Global Land (GA4; Walters et al. 2014; Mizielinski et al. 2014) at  $0.175^\circ \times 0.117^\circ$  horizontal resolution (approximately 12-km grid spacing at midlatitudes and 17 km at the equator) and 85 vertical levels (20 in lowest 3 km). SSTs and sea ice were prescribed daily from the Operational Sea Surface Temperature and Sea Ice Analysis (OSTIA; Donlon et al. 2012; Martin et al. 2015) dataset, which

TABLE 1. Summary of the model simulations used in this study.

	Deep convection	Shallow convection	PBL
PARAM	Parameterized	Parameterized	MetUM GA4 standard (Lock et al. 2000)
EXPLICIT	Explicit	Explicit	Blended turbulence scheme (Boutle et al. 2014)
SCUMULUS	Explicit	Parameterized	Blended turbulence scheme (Boutle et al. 2014)

has a native resolution of  $1/20^\circ$ . The version of the MetUM used in this study is semi-Lagrangian, semi-implicit, and nonhydrostatic with a terrain-following coordinate system (Davies et al. 2005). Brief descriptions of the standard model version GA4 parameterizations can be found in Walters et al. (2014), including the surface (Essery et al. 2001; Best et al. 2011), clouds (Wilson et al. 2008a,b), the planetary boundary layer (PBL; Lock et al. 2000), cumulus convection (Gregory and Rowntree 1990), and mixed-phase cloud microphysics (Wilson and Ballard 1999).

Three global MetUM simulations (M. J. Roberts 2013, unpublished data) are used in this study and their differences are summarized in Table 1. The first (PARAM) employs the standard GA4 MetUM's parameterization schemes. The second (EXPLICIT) is essentially the same as PARAM but with the deep and shallow convective parameterization switched off, permitting the model to develop convection explicitly. Initial tests, however, found this configuration suffered from numerical instabilities, particularly at high latitudes, where the regular latitude–longitude grid in the MetUM implies extremely fine spacing in the east–west direction. For operational NWP at kilometer scale, the Met Office now employs the blended turbulence scheme (Boutle et al. 2014), which dynamically combines the standard MetUM one-dimensional (1D) PBL scheme with a 3D Smagorinsky turbulence scheme, depending on how well resolved the turbulent scales are predicted to be. Including the blended turbulence scheme in the EXPLICIT configuration allowed the simulations to be performed without model failures and also to behave in a similar way to PARAM away from regions of cumulus convection, so the blended turbulence scheme is used in EXPLICIT in this paper. The disadvantage of the blended scheme was that, in regions of climatologically significant shallow convection (such as the trade wind regions), EXPLICIT very clearly gave a poor representation of low cloud cover.

The third simulation (SCUMULUS) is the same as EXPLICIT but with a parameterization of shallow convection included to improve the representation of low cloud cover in subtropical regions. This is the same as the convection parameterization in PARAM, but the closure in the parameterization of deep convection is strongly damped as the CAPE becomes significant so that it is restricted to represent only weak convection

and produce almost no precipitation. This is the same convection parameterization as is used in the MetUM convection-permitting configuration in the Cascade project (Pearson et al. 2014). As a result, SCUMULUS performed as well as PARAM in the subtropics while, for the metrics analyzed here, EXPLICIT and SCUMULUS behave in a similar way. Including both simulations in this study is worthwhile because the period analyzed is more than a year into a set of climate simulations, and the similarities between EXPLICIT and SCUMULUS give confidence that the differences between the simulations with parameterized and nonparameterized deep convection are not simply because of interannual variability or remote influences.

The model was initialized in March 2008 from a climatological state generated by a multiyear 17-km experiment and was run with a time step of 4 min for a period of 4 yr. Because of the large data volumes produced by the model, subdaily model diagnostics were only output for one of the model years (March 2009–February 2010); thus, only data from the Southern Hemisphere wet season (November–February) during this period are used here.

A grid spacing of 17 km is a coarse resolution at which to run a climate model without a convective parameterization. To truly resolve convection, sub-kilometer model grid spacings are necessary; but equally, as discussed above, convective parameterization also produces significant biases. The diurnal cycle is the first-order mode of variability in the climate system and has been investigated extensively in recent work from the Cascade project. For metrics related to the diurnal cycle (e.g., precipitation, propagation of storms, and convective triggering) limited-area model simulations performed in a similar way when run without a convective parameterization at 12, 4, and 1.5 km and were closer to reality than runs with a convective parameterization at 12 and 40 km (Pearson et al. 2014; Marsham et al. 2013; Birch et al. 2014a,b). Convection-permitting versions of the MetUM are, however, known to overestimate precipitation amounts (Kendon et al. 2012; Birch et al. 2014b). Here we are less interested in absolute amounts of rainfall but in how the biases in the diurnal cycle can feed back on other aspects of the model, an aspect that is better represented in the convection-permitting configurations.

This study uses two precipitation products derived from satellite observations: TRMM 3B42 (Huffman et al. 2007;



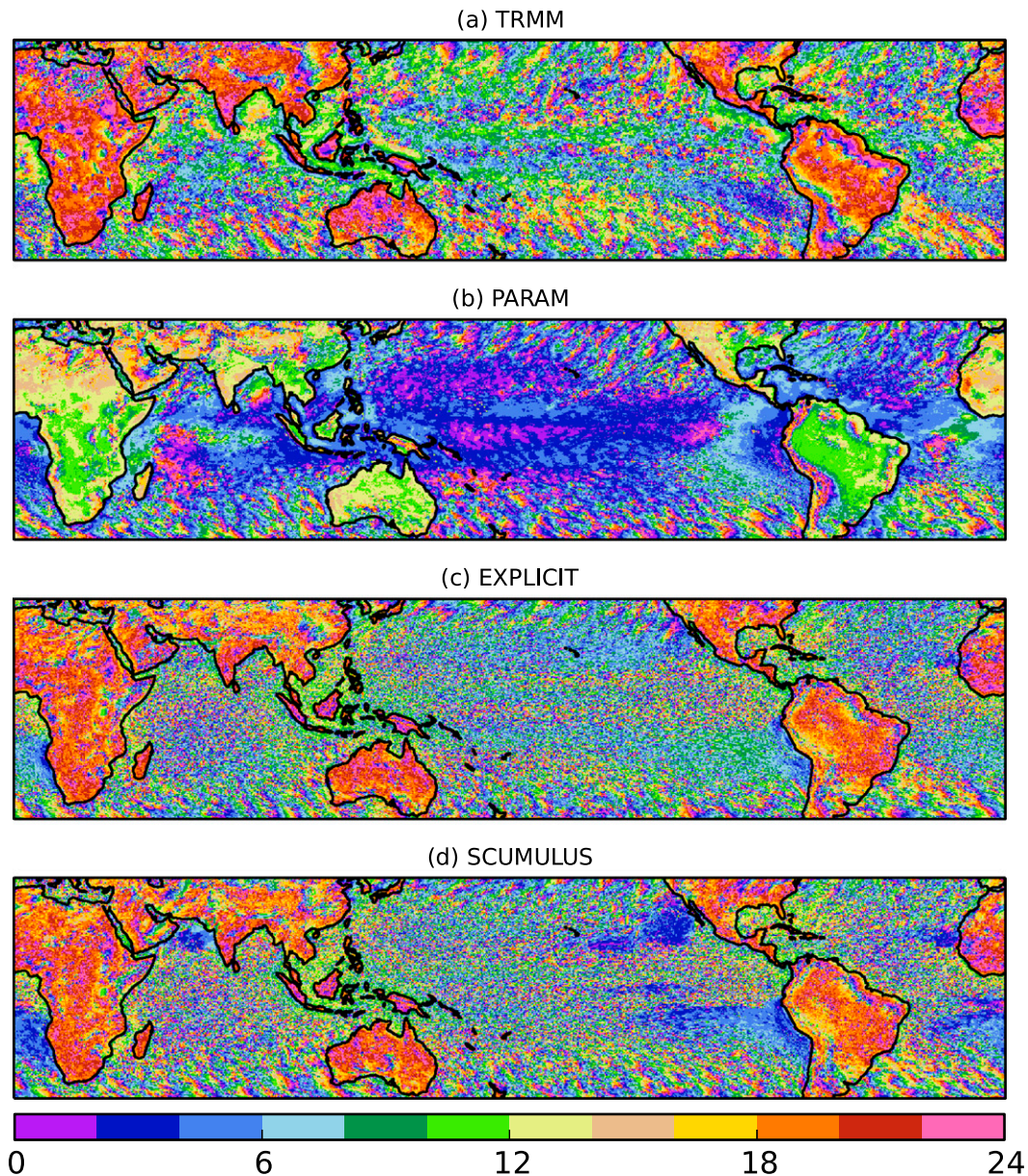


FIG. 2. Local standard time of the precipitation maximum computed as the first harmonic of the diurnal cycle in (a)–(d) TRMM observations and the three model simulations (PARAM, EXPLICIT, and SCUMULUS). Twelve months of data were used for both the observations and the model.

NASA 2015) and the CPC morphing method (CMORPH; Joyce et al. 2004; NOAA 2015). These products are known to have particular problems over steep topography, where biases have a strong dependence on elevation (Romilly and Gebremichael 2011), and magnitudes can exceed 50%–100% of the mean annual rainfall (Habib et al. 2012). Although both the satellite products used in this study have a 3-h time resolution, it should be noted that the satellite-derived precipitation maximum corresponds with the maximum in deep convective precipitation, which may be delayed by 1 or 2 h

relative to surface observations that include earlier rainfall from shallower clouds (Dai et al. 2007). Nonetheless, recent work by Ackerley et al. (2014) made use of both gauge and satellite data to assess the diurnal cycle of rainfall over northwestern Australia and found the 3-hourly satellite observations agreed well with the ground observations. In this study, the absolute amounts of rainfall are of secondary importance to the timing of the diurnal cycle, and the possible 1–2-h error in the timing of the peak rainfall in the satellite observations is smaller than the model error produced by convective parameterization (>5 h).



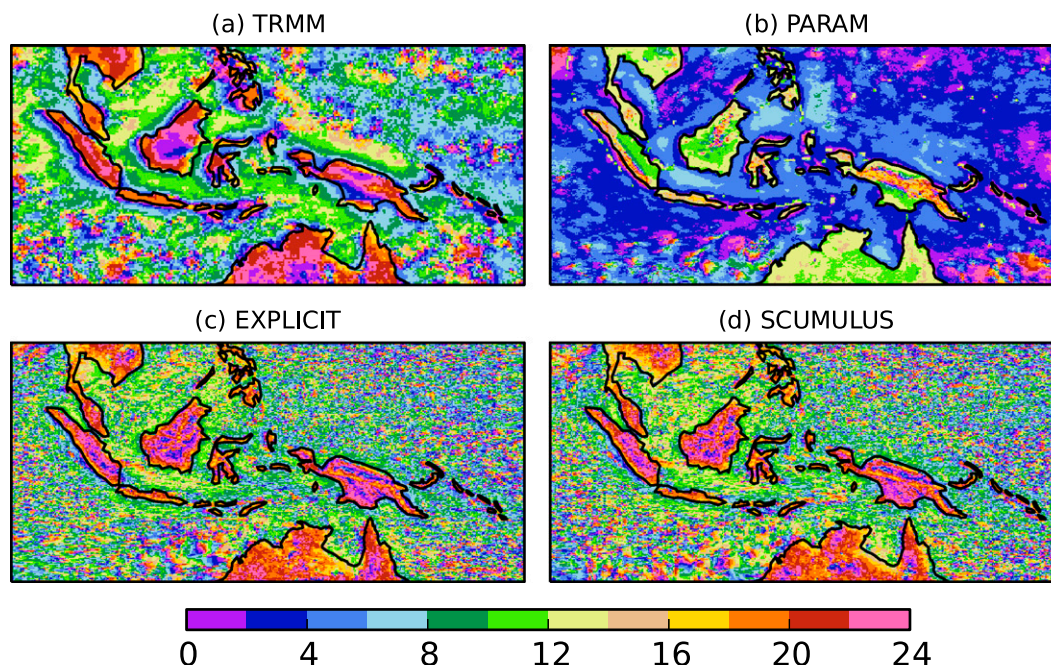


FIG. 3. As in Fig. 2, but zoomed into the Maritime Continent region.

### 3. Global and regional diurnal cycle

Switching off the convective parameterization causes a step change in the way convection is represented that is in broad agreement with the findings of Sato et al. (2009). Figures 2 and 3 show the local time of the precipitation maximum computed as the first harmonic of the diurnal cycle over the 1-yr period for the global tropics and the Maritime Continent region, respectively. In the TRMM satellite observations, the peak in precipitation over tropical land regions generally occurs in the late afternoon and evening [1800–0000 local standard time (LST), orange and pink colors]. In PARAM, the peak over tropical land occurs between 0900 and 1500 LST (green and yellow colors), emphasizing the common bias in models with parameterized convection, where the peak in precipitation occurs around midday, more than 6 h before the observed peak (Dai 2006; Ackerley et al. 2015). When the convective parameterization is switched off (EXPLICIT), the timing in the diurnal cycle over land improves dramatically in regions such as the islands of the Maritime Continent, northwestern Australia, the Amazon region, and the Sahel region of Africa. Since very little rain is produced by parameterized shallow convection, the results for SCUMULUS and EXPLICIT are similar.

The signal over the noncoastal oceans in TRMM is noisier than over land, at least in part because the amplitude of the diurnal cycle over the remote ocean is smaller than over land and coastal oceans (e.g., Peatman

et al. 2014) and sometimes has a double peak. EXPLICIT reproduces a similar noisy signal over the tropical oceans, whereas PARAM is dominated by peak rainfall between 0000 and 0600 LST. The offshore propagation of storms is evident in TRMM in the coastal waters around the Maritime Continent (Fig. 3), in agreement with Kikuchi and Wang (2008). Convection initiates in the late afternoon and early evening over the land and propagates offshore in the early hours of the morning (0000–0600 LST, purple and blue colors), peaking in late morning 200–500 km away from the coasts (0800–1200 LST, green colors). There is some indication of this propagation in PARAM, but it occurs too early in the diurnal cycle (2200–0400 LST, purple and blue colors). There are improvements to the timing in EXPLICIT and SCUMULUS; for example, propagation is evident off the west coast of Sumatra and in the coastal seas between the islands, but the signal is noisy.

### 4. Cape York Peninsula

Sea-breeze dynamics are known to play an important role in the initiation of convection (Saito et al. 2001; Wapler and Lane 2012) and the formation of cloud lines (Noonan and Smith 1986; Goler et al. 2006) and borelike waves (Goler and Reeder 2004; Smith et al. 2006; Birch and Reeder 2013) around the coastlines of northern Australia. The Cape York Peninsula in northeastern Australia (red box, Fig. 1) is one example; in the afternoon, sea breezes form on the west and east coasts,

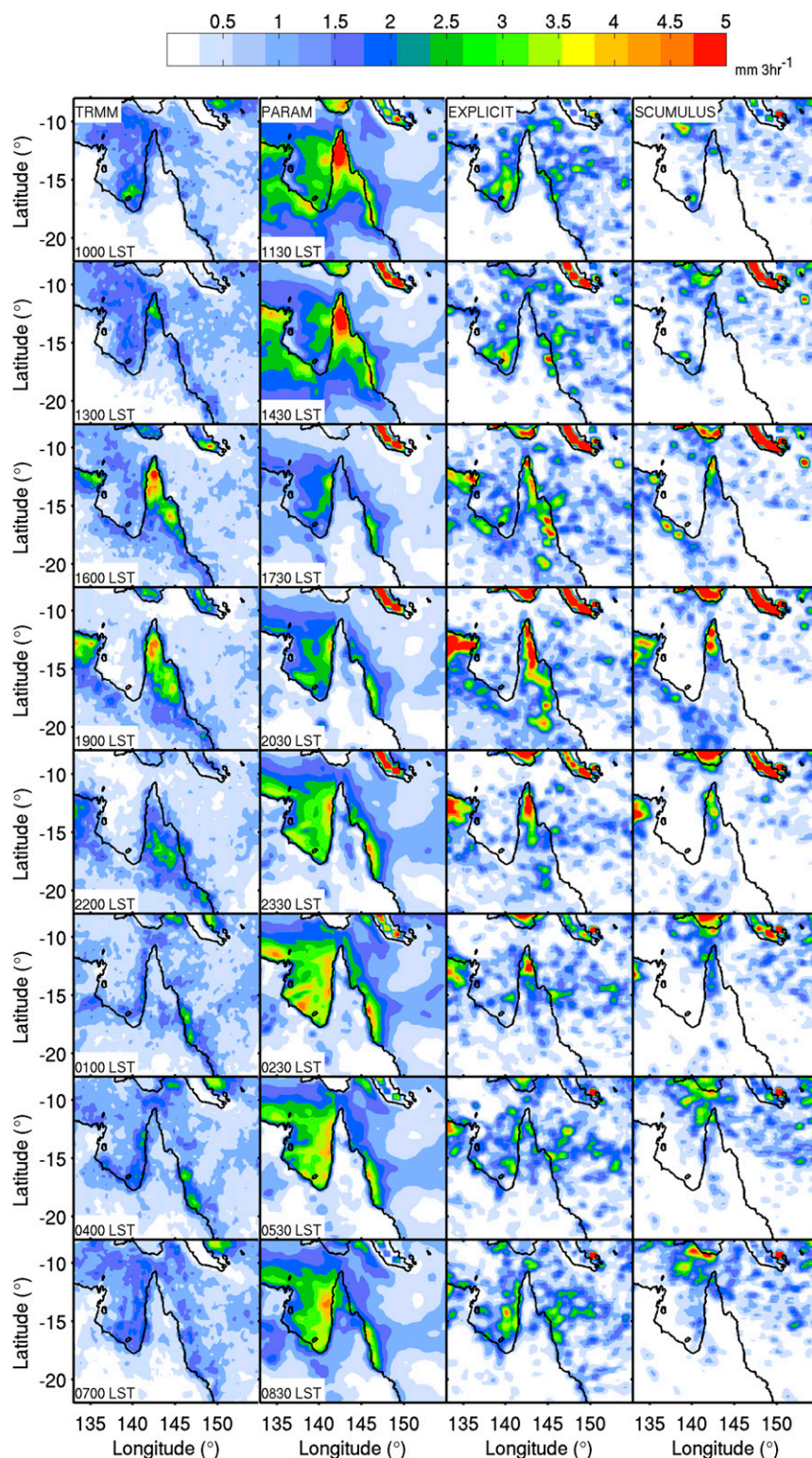


FIG. 4. (top)–(bottom) Mean diurnal cycle of precipitation over the Cape York Peninsula for February ( $\text{mm } 3\text{ h}^{-1}$ ): (left)–(right) TRMM observations and the three model simulations (PARAM, EXPLICIT, and SCUMULUS). The TRMM observations are averaged over years 2006–10. Note that the observed and model datasets are staggered in time by 1.5 h.



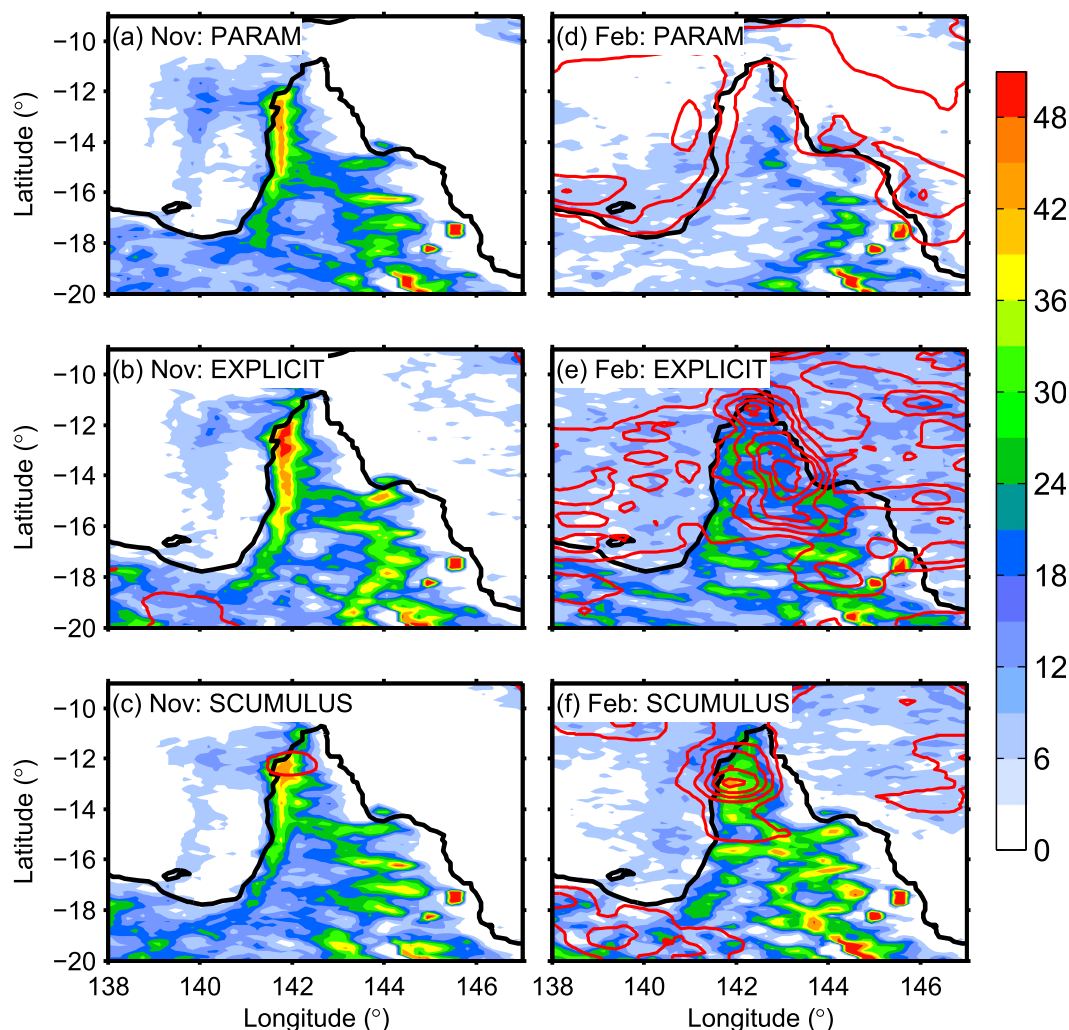


FIG. 5. Number of occurrences of convergence at 1600 and 1900 LST above a threshold of  $3 \times 10^{-5} \text{ s}^{-1}$  (colored shading) and the mean rainfall rate at 2030 LST (solid red contours at  $10 \text{ mm day}^{-1}$  intervals between 10 and  $60 \text{ mm day}^{-1}$ ) in (left) November and (right) February: (top)–(bottom) PARAM, EXPLICIT, and SCUMULUS.

propagate inland, and create convergence zones in which convection is initiated (see the [appendix](#) for an example case). The Cape York Peninsula is used as a case study for initial assessment of the impact of convective parameterization on sea-breeze strength and precipitation, because the geometry of the region is reasonably simple and the main rain-producing mechanism is understood ([Reeder et al. 2013](#)). Monsoon onset over northern Australia occurs at the end of December ([Hendon and Liebmann 1990](#)), and prior to this there is minimal rain over the Cape York Peninsula. To understand the influence of convective biases on sea-breeze dynamics, the three model simulations are compared for both a dry month (November) and a wet month (February).

The mean diurnal cycles of TRMM and model precipitation for February are shown in [Fig. 4](#). The TRMM

observations show a peak in precipitation over land between 1600 and 1900 LST and a peak over the coastal ocean in the early hours of the morning. Plots of CMORPH show a very similar pattern (not shown). The precipitation in PARAM peaks too early in the day both over land (1330 LST) and over the coastal oceans (0330 LST). EXPLICIT and SCUMULUS produce a much more realistic diurnal cycle over both land and sea. All three simulations do, however, overestimate the amount of rainfall, which is particularly true for PARAM over the ocean and EXPLICIT over the land. The amount of rainfall over land is smaller in SCUMULUS than EXPLICIT. Since only a negligible amount of rainfall is produced by the parameterized shallow convection in SCUMULUS, the difference in rainfall amounts in EXPLICIT and SCUMULUS is an indicator of interannual

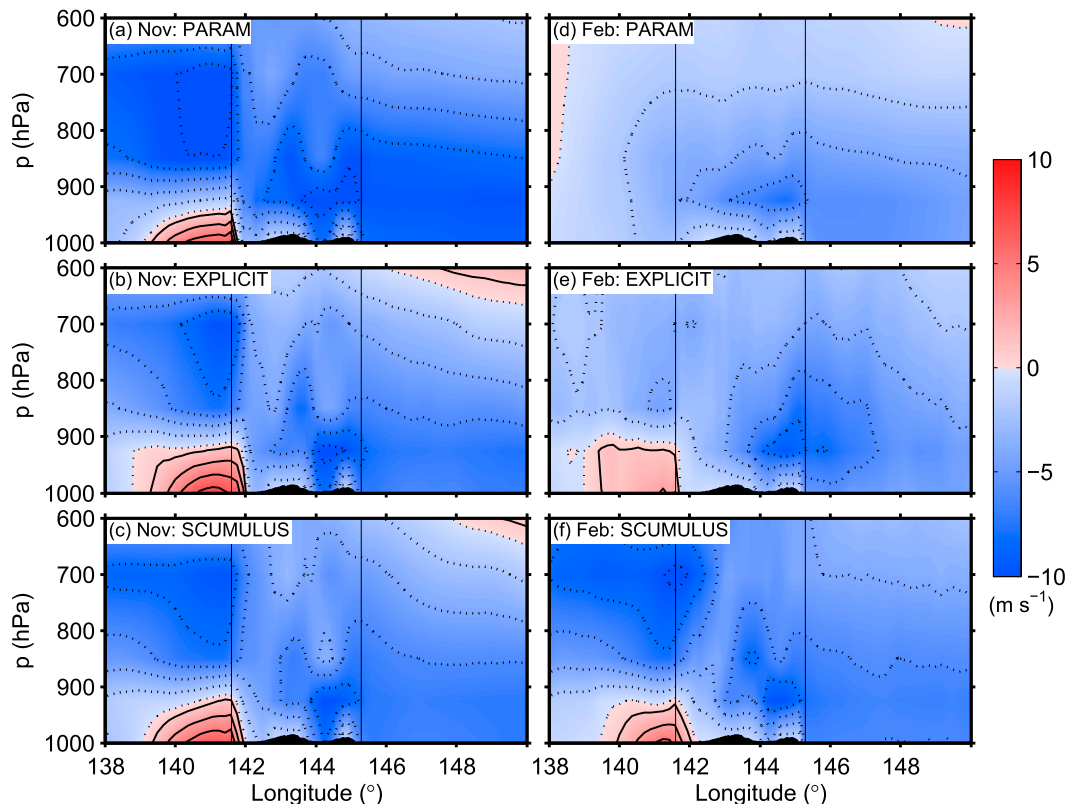


FIG. 6. Mean zonal wind (colored shading) for (left) November and (right) February at 1900 LST along a transect at 15°S. The solid (dashed) black contours represent positive (westerly) wind [negative (easterly) wind]. The vertical black lines mark the coastlines of the peninsula at 15°S, and the black areas mark regions below the surface.

variability in the climate system. Model data are only analyzed for a single month (February 2010), and because this period is 3 yr into a climate simulation, it is not expected that EXPLICIT and SCUMULUS should produce the same monthly total rainfall amount.

Rainfall often develops over the peninsula in regions of convergence that forms when the west coast sea breeze propagates inland, meeting the prevailing easterlies and/or the east coast sea breeze (Fig. A2 shows an example). Figure 5 shows the number of occurrences of high convergence ( $>3 \times 10^{-5} \text{ s}^{-1}$ ) at 1600 and 1900 LST and the mean rainfall at 2030 LST for the months of November and February. In November, afternoon rainfall is less than  $10 \text{ mm day}^{-1}$  (apart from very small regions in EXPLICIT and SCUMULUS), and all three simulations produce a similar pattern of high convergence, with a peak near the west coast in the northern part of the peninsula and a peak inland of the east coast in the south. The high-frequency features in the southeast of the Cape York Peninsula are associated with the high orography in the region. The lower values along the east coast are a consequence of the prevailing easterly winds, which advect the convergence zone westward of the center of the peninsula. Moreover, as shown by

Goler and Reeder (2004), the sea breezes that form on each side of the Cape York Peninsula are asymmetric because of the background easterly winds, which causes east coast sea breezes to be deeper and weaker than sea breezes that form on the west coast. By February, after monsoon onset and when rainfall rates have increased, there are large differences between the simulations. In EXPLICIT and SCUMULUS, strong convergence occurs frequently over the peninsula, and mean 2030 LST rainfall rates of up to  $50 \text{ mm day}^{-1}$  are associated with it. There are differences between EXPLICIT and SCUMULUS in both the frequency of occurrence of convergence and rainfall (Figs. 5e,f). Rainfall is higher and extends farther south in EXPLICIT, which somewhat reduces the frequency of high convergence. This difference is almost certainly caused by interannual variability of the monsoon system, which has an impact on the analysis because the averages are only over 30 days. In contrast, PARAM produces high convergence much less frequently, and there is less than  $10 \text{ mm day}^{-1}$  rainfall at 2030 LST.

As the convergence over the peninsula depends on the relative strength of the two sea breezes, differences in the convergence between the runs should therefore be a

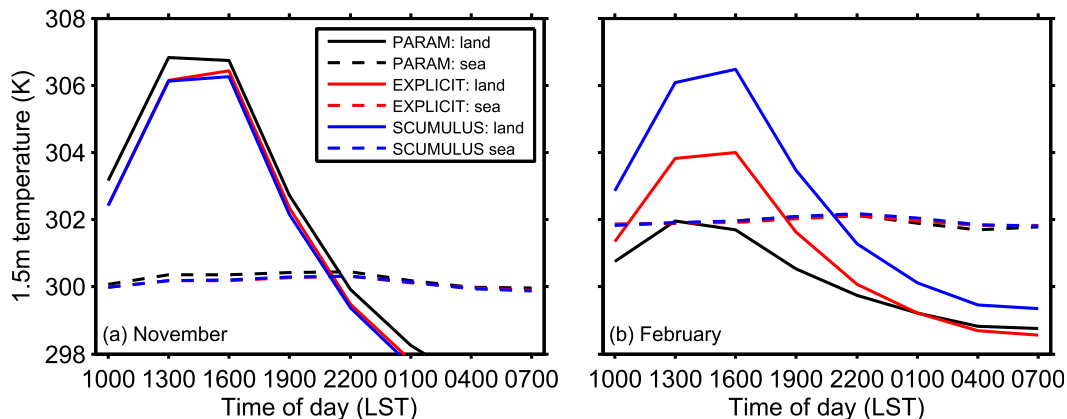


FIG. 7. Mean diurnal cycle of the 1.5-m temperature for (a) November and (b) February: PARAM (black), EXPLICIT (red), and SCUMULUS (blue) over land (solid) and sea (dashed).

result of differences in the sea-breeze representation. Figure 6 shows a transect at 15°S of the mean zonal winds at 1900 LST (the approximate time of the peak in sea-breeze strength and precipitation in TRMM, EXPLICIT, and SCUMULUS; Fig. 4) for November and February in each of the simulations. The prevailing wind is easterly between the surface and at least 600 hPa (blue shading, dashed contours), and the west coast sea breeze is illustrated by the positive winds (red shading, solid contours) between 140° and 142°E. In November, before the onset of significant convective activity, the west coast sea breeze is of a similar depth and magnitude in all three simulations. By February, after the onset of the rainy season, the west coast sea breeze has weakened in EXPLICIT and SCUMULUS and appears to be completely absent from PARAM.

Sea breezes develop in response to land–sea temperature contrasts; during the day, land heats up quicker than water, driving an onshore flow at low levels and a compensating return flow aloft. As the mass divergence aloft exceeds the mass convergence at low levels, a pressure minimum develops over land (Miller et al. 2003). Figure 7 shows the mean diurnal cycle of the 1.5-m temperature over land and sea for November and February. The diurnal cycle of near-surface temperatures over the sea is very small ( $<0.3$  K), and there is little difference between the three model simulations (dashed lines). The amplitude of the diurnal cycle over land is approximately 8 K, with the peak occurring between 1300 and 1600 LST (solid lines). In November, the three simulations produce very similar near-surface temperatures over land. By February, when the monsoon is well established over the Cape York Peninsula, the peak daily temperature in PARAM has decreased so much that the temperature gradient between land and sea is negligible during the middle of the day. A

reduced land–sea temperature contrast can therefore explain the weaker sea breeze and low-level convergence in PARAM. The daytime land–sea temperature contrast is higher in EXPLICIT and SCUMULUS, although EXPLICIT is up to 2 K cooler than SCUMULUS, and therefore the sea breeze and convergence are weaker in EXPLICIT (cf. Figs. 5e and 5f). The cooler near-surface temperature difference in EXPLICIT can be explained by the higher rainfall rates and more southerly extent of the afternoon/evening rainfall in February over the region (Fig. 5), which act to cool the surface. As discussed above, this is most likely caused by interannual variability of the monsoon system, and results in the following section indicate that EXPLICIT and SCUMULUS behave in a very similar way when averaged over a longer time period and a larger region.

The foregoing results suggest the following conclusion regarding the impact of convective parameterization on sea-breeze dynamics. In February, the convective parameterization in PARAM produces precipitation in the morning, rather than the late afternoon, as observed and simulated in EXPLICIT and SCUMULUS. The rain cools and wets the surface and the boundary layer during the middle of the day, which reduces the land–sea temperature contrast and thus the sea-breeze strength at the time of day when sea breezes are at a maximum in reality. The behavior of the three simulations is, however, much more similar in November, before the start of the wet season, which strongly suggests that the differences seen in February are caused by convective processes.

Convergence from sea breezes is thought to be one of the main rain-forming mechanisms in the region, and the similarity of the simulations in November suggests that simulations with and without convective parameterization with 17-km grid spacing are both able to reproduce



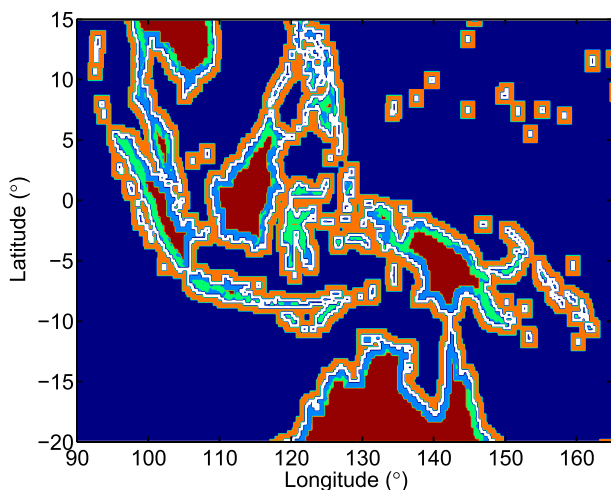


FIG. 8. Noncoastal sea (dark blue), coastal sea (orange), noncoastal land (brown), coastal lowland (<200 m MSL; light blue), and coastal highland (>200 m MSL; light green) grid boxes. Coastal land and sea are defined as within 100 km of the coast. Grid boxes that contain both land and sea (white) are not included in the analysis.

this main convergence-forming process. In PARAM, however, convection is triggered in the morning, before sea-breeze circulations form. This is because the convective parameterization does not respond properly to realistic trigger mechanisms, even if they are present in the model. Once the incorrect diurnal cycle is established in PARAM, a feedback with the boundary layer acts to weaken the rain-forming mechanism but this occurs second, not first. In EXPLICIT and SCUMULUS, convection must develop explicitly, requiring the convergence created by the sea-breeze circulations to initiate convection. The lack of response of the convective parameterization to low-level convergence is consistent with the findings of Birch et al. (2014a). Sea-breeze convergence is only one example of a mechanism for convection initiation. Over tropical land, many other convective triggers exist, such as cold pool outflows (Tompkins 2001), soil moisture “hot spots” (Taylor et al. 2011), and convergence lines in the lee of orography (Birch et al. 2014a). The reason the same improvements in the phase of the diurnal cycle of precipitation are seen over tropical land, far inland of coastlines, is because the convection-permitting simulations are able to respond more realistically to all these triggers. In the following section, we present a similar analysis but averaged over the entire Maritime Continent region to show that the conclusions reached in this section are applicable more widely and that differences in the model simulations over Cape York are not simply because of variability within the 30-day period analyzed.

## 5. Maritime Continent

Unlike the Cape York Peninsula, it is wet in the Maritime Continent for the majority of the year (Ramage 1968), and therefore little is gained from comparing the months of November and February. In this section the December–February means from the three simulations are compared. Sea-breeze dynamics are evaluated over the entire Maritime Continent region by identifying land and sea points within 100 km of the coastlines using the model land–sea mask (Fig. 8). Grid boxes that contain both land and sea are illustrated by the white regions of Fig. 8 and are not included in the analysis. The coastal land regions are then further categorized by the height of the orography, where coastal lowland is defined as regions below 200 m above mean sea level (MSL; light blue shading) and coastal highland as above 200 m MSL (green shading).

The mean diurnal cycle of observed and model rainfall, averaged over the entire Maritime Continent subdomain and split into coastal lowland, coastal highland, and coastal sea, is shown in Fig. 9. As with the Cape York Peninsula, peak rainfall rates occur approximately 6 h too early in PARAM compared to the observations, and this does not depend upon orography or surface type (Figs. 9a–c), whereas the diurnal cycle is better represented in EXPLICIT and SCUMULUS. Over coastal highland, all three simulations overestimate the total amount of precipitation. Using rain gauge data, Matthews et al. (2013) found that TRMM underestimates rainfall in the highlands of Papua New Guinea by a factor of two. The rainfall in EXPLICIT and SCUMULUS is more than twice that estimated by TRMM; thus, it is unlikely that the difference can be explained by uncertainties in the satellite observations alone. The MetUM at high resolution, with the convection scheme switched off, is known to overestimate precipitation amounts over a number of geographical regions (Kendon et al. 2012; Birch et al. 2014b).

To understand the impact of convective parameterization on sea-breeze strength, Fig. 10 shows the mean diurnal cycle of the 1.5-m temperature, 925-hPa wind speed, and the surface latent ( $Q_e$ ) and sensible ( $Q_h$ ) heat fluxes. Since the sea-breeze circulations in Fig. 6 are relatively shallow, their impact over coastal highland is much smaller, and thus only coastal sea and coastal lowland are illustrated here. Over sea, the diurnal cycle of all four variables is much weaker than over land, and all three simulations behave in a similar way. The behavior of EXPLICIT and SCUMULUS is more similar here than in the Cape York case study because the model data are a 3-month mean, rather than a single month, and the averaging area is much larger. Over land,

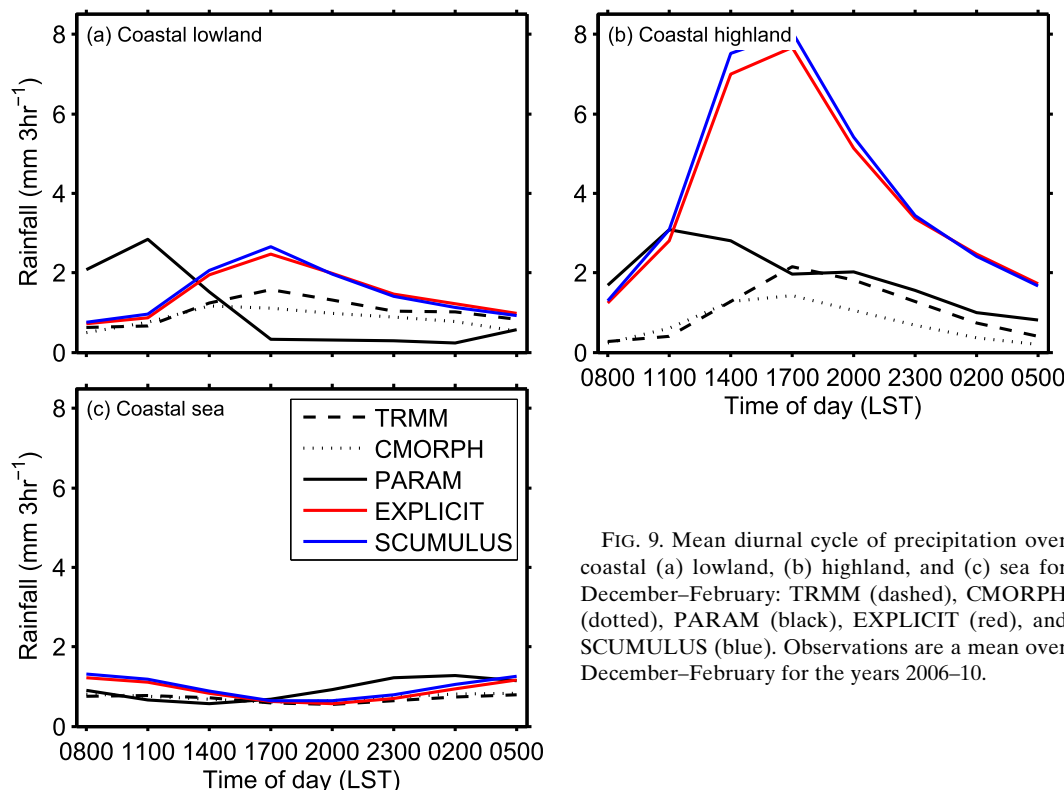


FIG. 9. Mean diurnal cycle of precipitation over coastal (a) lowland, (b) highland, and (c) sea for December–February: TRMM (dashed), CMORPH (dotted), PARAM (black), EXPLICIT (red), and SCUMULUS (blue). Observations are a mean over December–February for the years 2006–10.

the afternoon temperatures are more than 1 K cooler in PARAM than in EXPLICIT or SCUMULUS (Fig. 10a). The weaker land–sea temperature contrast in PARAM weakens the coastal wind speeds; from 1600 LST onward, PARAM has the weakest wind speeds over both coastal land and sea (Fig. 10b). Over land, both  $Q_h$  and  $Q_e$  peak during the middle of the day (Figs. 10c,d), when solar heating is at its highest. Between 1300 and 1600 LST,  $Q_e$  is approximately  $60 \text{ W m}^{-2}$  higher, and  $Q_h$  is approximately  $60 \text{ W m}^{-2}$  lower in PARAM than in either EXPLICIT or SCUMULUS. The total heat flux does not change between the simulations, but the Bowen ratio ( $Q_h/Q_e$ ) does, from approximately 0.4 in PARAM to approximately 0.75 in EXPLICIT and SCUMULUS. Large-eddy simulation studies show that a sensible heat flux of  $50 \text{ W m}^{-2}$  accounts for approximately 1 K of near-surface temperature (García-Carreras et al. 2011), which is consistent with the  $>1 \text{ K}$  temperature difference between the simulations in Fig. 10a.

The other factor to consider is the effect of the diurnal cycle on radiation. It is possible that, since rainfall peaks too early in PARAM, cloud cover may also peak too early, reducing the surface radiation flux during the middle of the day and at least partly accounting for the lower afternoon land temperatures. Figures 11a–c show the mean diurnal cycle of surface downward shortwave radiative flux over the three surface categories. There

are negligible differences between the simulations over coastal lowland and coastal sea and only small differences over coastal highland. There are also small differences in the amounts of cloud in the simulations (cloud fraction and cloud water path; not shown), but the impact of cloud and radiation on surface temperature is clearly small compared to that caused by the surface fluxes (Fig. 10), showing that the differences between the simulations are driven by the surface fluxes, not cloud and radiation.

## 6. Conclusions

State-of-the-art, high-resolution MetUM climate simulations with and without a convective parameterization are used to (i) understand how biases in the representation of convection in GCMs interact with local sea-breeze circulations, and (ii) diagnose what aspects of the convective parameterization require improvement. It is well established that models with convective parameterization generally produce rainfall with a diurnal peak that is too early in the day (e.g., Dai 2006). Switching off the convective parameterization in high-resolution (17-km grid spacing) global models causes a step change in the way convection is represented. Globally, over both land and sea, the timing of the peak in the diurnal cycle is much improved in the convection-permitting simulations, although the amount of precipitation is biased high, particularly over

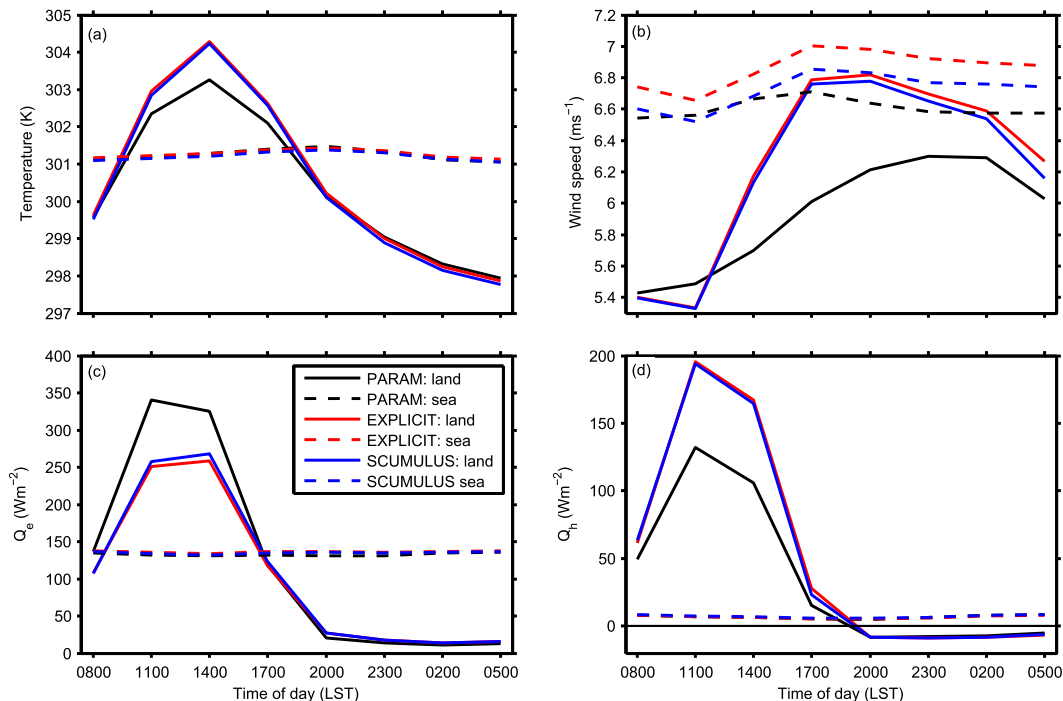


FIG. 10. Mean diurnal cycle for December–February over coastal sea and coastal lowland for (a) 1.5-m temperature, (b) 925-hPa wind speed, and surface (c) latent and (d) sensible heat flux: PARAM (black), EXPLICIT (red), and SCUMULUS (blue) over land (solid) and sea (dashed).

high orography, which is a known issue in convection-permitting configurations of the MetUM (Birch et al. 2014b; Holloway et al. 2012).

As with most coastal tropical regions, sea-breeze circulations in the Maritime Continent are an important rainfall-producing mechanism. They create convergence zones inland of the coast, which initiate convective rainfall and control the diurnal timing of rainfall (Qian 2008). The present study shows that, during dry periods, sea-breeze circulations are present and are of a similar strength in all of the simulations. This suggests that, with 17-km grid spacing, both versions of the model have the ability to reproduce the sea-breeze circulations required to initiate convection. During wet periods, however, the convective parameterization triggers too early in the day, which cools and wets the land surface during the late morning, reducing the land–sea temperature contrast and thus the strength of the sea-breeze circulation. This feedback of errors further reduces the model’s ability to respond realistically to the afternoon convergence produced by the sea breeze. It is important to note that this feedback is an effect of the diurnal cycle errors in the convective parameterization, not a cause of them.

The cooling associated with the incorrect diurnal cycle of rainfall is manifested in a change in the partitioning of the sensible and latent heat fluxes; rainfall in the

morning wets the surface and thus cools and wets the boundary layer through a higher latent heat flux and a lower sensible heat flux. The impact of cloud on the surface radiation budget, and thus on the near-surface temperature, is negligible in comparison (i.e., a radiative impact of a few watts per square meter compared to a surface heat flux impact of  $60 \text{ W m}^{-2}$ ). The behavior of the two simulations where the deep convective parameterization is switched off is similar, giving confidence that the results presented are a result of the representation of convection, rather than simply variability within the climate system.

The global numerical weather prediction (NWP) version of the MetUM currently runs operationally with a grid spacing of 25 km, which is approaching the 17-km grid spacing used in this study. Since the climate and NWP versions of the MetUM use the same convective parameterization, it is likely that simulations at NWP time scales also suffer from a similar bias in sea-breeze strength, which has implications for forecasting quantities, such as air quality, wind, temperature, and cloud in coastal regions.

Accurate future rainfall projections under climate change are imperative for future water security but are a major source of model uncertainty (Flato et al. 2013). Future rainfall will be determined by changes to rainfall-generating processes, and if they are not



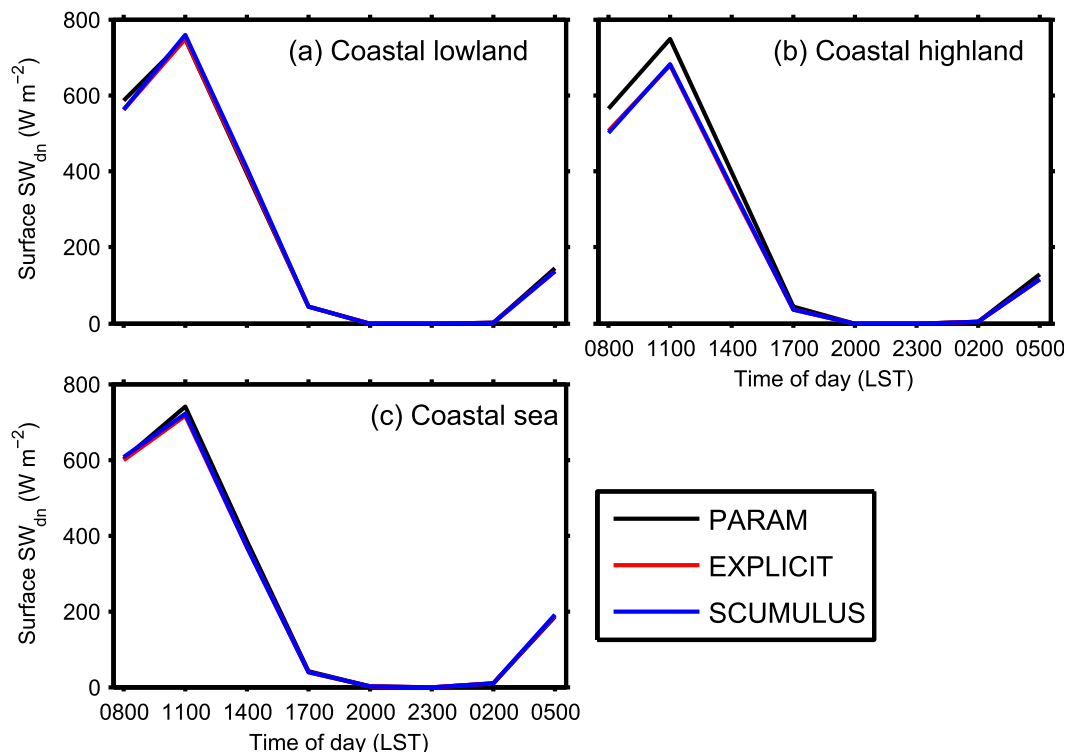


FIG. 11. Mean diurnal cycle of surface downward shortwave radiation over coastal (a) lowland, (b) highland, and (c) sea for the months December–February: PARAM (black), EXPLICIT (red), and SCUMULUS (blue).

represented accurately in present-day climate simulations, there is little chance of achieving accurate future predictions. Improving how and when the parameterization triggers convection, and perhaps making it more dependent on low-level convergence, will not only improve the timing and location of rainfall but also the representation of the convective triggers themselves. Moreover, this study highlights the importance of evaluating climate models at the processes level: a correct mean climate can be the result of

multiple feedbacks with compensating errors. Process studies can 1) diagnose specific aspects of the model that are problematic, rather than simply highlighting errors and 2) help quantify model uncertainty in cases, such as convection, where multiple models have the same biases and would underpredict uncertainty.

*Acknowledgments.* This work was partially funded by Meteorological Service Singapore, and CEB's visit to Monash University was supported financially by the

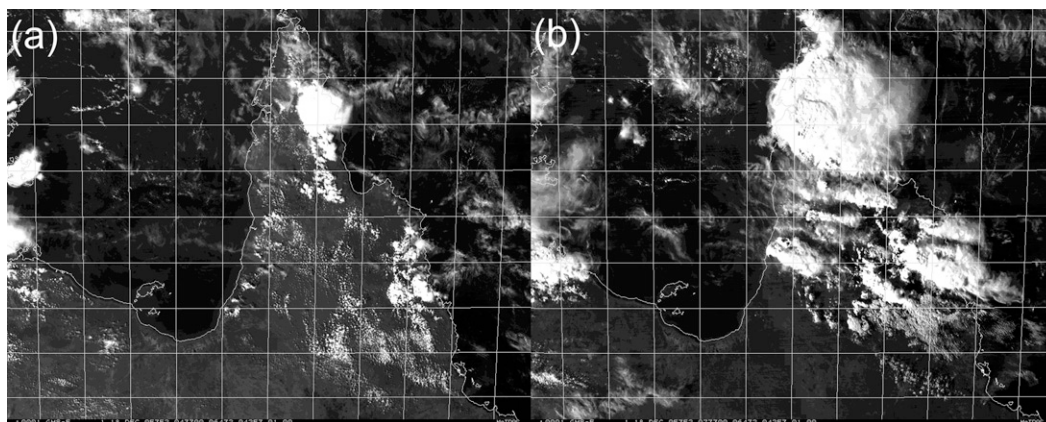


FIG. A1. Visible satellite imagery for (a) 1430 LST and (b) 1730 LST 18 December 2005.

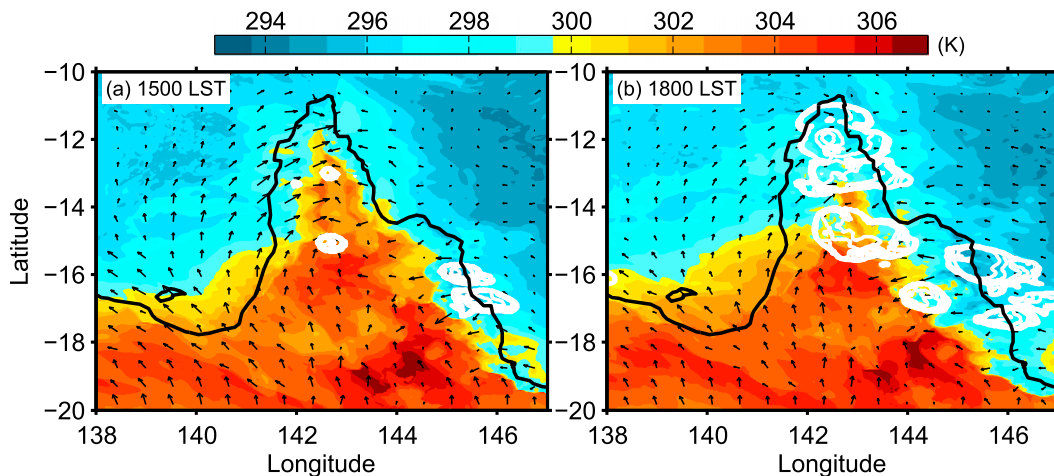


FIG. A2. The 925-hPa temperature (colored shading) and winds (vectors), and outgoing longwave radiation (white contours at  $10 \text{ W m}^{-2}$  intervals) at (a) 1500 LST and (b) 1800 LST 18 December 2005.

ARC Centre of Excellence for Climate System Science. R. Schiemann acknowledges Natural Environmental Research Council (NERC)–Met Office Joint Weather and Climate Research Programme (JWCRP) HRCM funding. This work made use of the facilities of MONSooN, a supercomputer jointly funded by the Natural Environmental Research Council and the Met Office and JASMIN, a superdata cluster (Lawrence et al. 2012) managed by the Science and Technology Facilities Council Scientific Computing Department (SCD) on behalf of the Centre for Environmental Data Archival. We are grateful to Nicholas Klingaman for his help processing the TRMM data. Thanks go to many Met Office staff for both technical and scientific advice on model configuration and data management and transfer. Met Office staff were supported by the Joint U.K. DECC/DEFRA Met Office Hadley Centre Climate Programme (GA01101). The authors are grateful to the NOAA National Weather Service Climate Prediction Center for providing the CMORPH rainfall data. We also acknowledge the TRMM mission scientists and associated NASA personnel for the production of the TRMM data used in this paper and are grateful to the Goddard Earth Sciences Data and Information Services Center (GES DISC) for making the data available. Finally, the authors thank three anonymous reviewers for their comments, which improved the clarity of this paper.

## APPENDIX

### Convection Initiation via the Sea Breeze

Figure A1 shows visible satellite imagery over the Cape York Peninsula for the afternoon of 18 December 2005. Cloud begins to form approximately 50 km inland of the

coast at 1300 UTC, which evolves into deep convective storms by 1600 LST. A convection-permitting, limited-area version of the MetUM was run for this case study period to demonstrate how rain forms over the region. The model was run with 4-km grid spacing, with the same configuration as the 4-km simulations described in Birch et al. (2014b), and was initialized at 1200 UTC (2200 LST) 16 December 2005 and run for 2.5 days. The cooler temperatures (blue shading) and 925-hPa wind vectors in Fig. A2 illustrate the propagation of the sea breeze inland of the coast during the afternoon of 18 December 2005. Similar to the observations, clouds form in the middle of the northern part of the peninsula in a convergence zone created by the collision of the west and east coast sea breezes. Farther south, a convergence zone forms just inland of the east coast and clouds form in the same region by mid-afternoon. By 1800 LST, the clouds in both regions have developed into deep convective storms.

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